

Transmitting AKI Warning Stage Data to the UK Renal Registry Best Practice Guidance

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Think Kidneys is a national programme led by NHS England in partnership with UK Renal Registry



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1. Background

Acute kidney injury (AKI), previously known as acute renal failure, is an emerging global healthcare challenge. It is characterised by a sudden decline in kidney function and is rarely caused by physical injury or trauma to the kidneys. Acute kidney injury can occur without symptoms and is detected through a routine blood test. It has many different causes and usually occurs alongside other serious illnesses such as infection or dehydration and is common in patients in hospital. In some cases, certain medications can also affect the kidneys adversely and this can lead to acute kidney injury or add to the severity of acute kidney injury. Acute kidney injury is linked to an increased risk of death or prolonged illness as toxins and fluid collect in the body.

There is a complex link between long term medical conditions (for example diabetes, heart disease, cancer), medication and acute illness which can be further complicated by acute kidney injury. It is estimated that one in five emergency admissions into hospital are associated with acute kidney injury (Wang et al, 2012). Up to 100,000 deaths in hospitals are associated with acute kidney injury and a quarter to a third could potentially be prevented (National Confidential Enquiry into Patient Outcome and Death (NCEPOD) Adding Insult to Injury 2009).

The National Institute for Health and Care Effectiveness (NICE) acute kidney injury guidance (published August 2013) highlights that the older person with complex health issues and associated illnesses is most at risk. The complication of acute kidney injury to ongoing illness prolongs hospital stays and increases mortality even when the severity of acute kidney injury seems mild. The long term outcomes of acute kidney injury are especially poor for people in this situation. The financial burden upon the NHS is large. NHS Kidney Care estimated that the cost to the NHS per annum is £500 million.

'Think Kidneys' is a national programme led by NHS England in partnership with the UK Renal Registry (UKRR). The main aim of the Programme Board is to ensure avoidable harm related to acute kidney injury is prevented in all healthcare settings.

2. Patient Safety Alert

The Think Kidneys detection workstream developed a national algorithm, standardising the definition of acute kidney injury. This was issued as an NHS England Patient Safety Alert in June 2014. <u>NHS England AKI Patient Safety Alert</u> NHS England recommended that the algorithm be implemented across the NHS. When integrated into a Laboratory Information Management System (LIMS) the algorithm identifies potential cases of acute kidney injury from laboratory data in real time and produces a test result. The laboratory system then sends the test result, using existing IT connections to patient management systems.

The installation of the NHS England acute kidney injury detection algorithm should be regarded as one part of a trust wide approach to tackling acute kidney injury. The installation of the algorithm is primarily the role of laboratory staff but other elements of the pathway require a multispecialty approach, including appropriate clinical engagement and senior executive buy in. The nationally



agreed algorithm provides the ability to ensure that a timely and consistent approach to the detection and diagnosis of patients with acute kidney injury is taken across the NHS.

Generation of an 'e-alert' for acute kidney injury is best regarded as a two-step process. The first stage is the detection of creatinine changes consistent with acute kidney injury, which will be delivered by the NHS England detection algorithm running in the laboratory information management system (LIMS). This will automatically compare measured creatinine values with a baseline variable on an individual patient basis to determine significant change.

The second stage of the process is the communication of AKI results to clinicians - the alerting phase of the process. As a minimum, positive AKI results will be sent to hospitals' results reporting systems where they can be viewed by clinicians. However, depending on local resources/capabilities, you may choose to institute additional alerting pathways; this part of the process is not described in the patient safety alert and should be developed locally. More guidance relating to this can be found in the AKI Warning Algorithm Best Practice Guidance document which can be found <u>here</u>.

3. Reporting AKI Warning Data to the UK Renal Registry

The AKI detection algorithm will produce a test result for every creatinine result that is consistent with a positive result for AKI; the test result is named 'AKI Warning Stage'. This test result will be a numerical field with four possible variables: null, 1, 2 or 3. The null results will not be reported, but results 1, 2, or 3 will be sent to your local results reporting system/patient management system and set to flag as abnormal, as for all other biochemical test results. You should append a text comment to the reported AKI Warning Stage test results. This could explain what AKI stage 1, 2 or 3 is and perhaps direct people to your own local AKI protocol on your Trust intranet. It should be possible to set rules in the LIMS to automatically append the appropriate comment for stage 1, 2 or 3. At present, there is no standard or agreed wording and this can be configured locally.

One of the requirements stipulated in the NHS England patient safety alert from June 2014 was to set up processes to extract AKI Warning Stage data and send them to The UK Renal Registry (UKRR). The aim of this data collection is to facilitate benchmarking and quality improvement for the condition. This document supports the AKI Warning Algorithm Best Practice Guidance publication that was published in December 2014 by the Think Kidneys programme, which can be found on the Think Kidneys website <u>here</u>.

4. How to transmit data to the UK Renal Registry

The NHS England patient safety alert requires that a report of the data is produced and transmitted electronically to the UKRR for patients with an AKI Warning Stage Result.

We are aware that some LIMS may have difficulty in extracting elements of the required data so we are asking for two files – a file of AKI Warning Stage data which we expect every system to be able to supply and an additional file with the creatinine result data for these patients. Laboratories with modern LIMS systems should be able to supply both of these files to the UKRR by the March 2015



deadline; less modern systems will have to work towards being able to submit the second file in the future.

Please note, the need to submit RV1 and RV2 data (as used by the AKI algorithm to calculate the AKI Warning Stage Result) has been dropped.

Sites should send one submission per month containing the data for the previous full calendar month (1-31_{st}) based on Date Processed. The files should be submitted to the UKRR before the end of the following month.

If there are problems with extracting a full month of data from a particular system then possible solutions such as more frequent, smaller submissions can be discussed with the UKRR.

Laboratory ODS codes can be found at <u>http://systems.hscic.gov.uk/data/ods/datadownloads/misc</u> - if your site is not present or your details are not correct please contact HSCIC.

If a site is only able to supply local patient identifiers but not NHS numbers or sufficient demographics to allow the number to be traced, you should expect that the UKRR will contact you periodically to request an extract from your PAS to supply this information.

Files should be submitted as ASCII CSV files. Fields should be supplied in the order given in the specification. No header row should be submitted. Any fields in the specifications which do not exist in the source data should be present but blank. No Line Feeds / Carriage Return characters should be present in any field.

String – ASCII String Number – an Integer Date – DD/MM/YYYY Datetime – DD/MM/YYYY HH:MM:SS

There is an appreciation that there will be some variation in the format of data produced by the various LIMS and the UKRR will try to accommodate this as part of the import logic.

Any "quirks" of your LIMS output that could affect the interpretation of the data should be described in an email to <u>George.Swinnerton@renalregistry.nhs.uk</u> including the ODS lab code of the submitting system. Please do not include any patient identifiable data in your initial email.

Filename:

Format: LABCODE_YYYYMMDD_YYYYMMDD.csv (where the 1st date is the start of the period and the 2nd the end inclusive)

Example: 69120_20140301_20140331.csv

Criteria:



One row should be included in the file for every alert that is generated within the period of the report. It is possible that there will be more than one row per patient in the files.

Data Item	Data Format	Notes	
NHS Number	String		
Local Patient Identifier	String	If NHS Number not	
		available	
Forename	String		
Surname	String		
Sex	M/F/U		
DOB	Date		
Address 1	String		
Address 2	String		
Address 3 (Town)	String		
Address 4 (County)	String		
Post Code	String		
Lab Code	String	ODS Code of Processing Lab	
Specimen Number	String		
Source of Request	String	ODS Code if possible or text	
Inpatient/Outpatient Care Indicator Field	IP/OP		
Date/Time of Alert Triggering Sample	Datetime	Date used in the AKI	
		Algorithm	
AKI Warning Stage Test Result	1/2/3		
Serum Creatinine Result (umol/l)	Decimal	The result associated with	
		the alert	
eGFR by MDRD Result	Decimal	The result associated with	
		the alert	
eGFR by CKD EPI Result	Decimal	If being used in place of	
		eGFR by MDRD	

Filename:

Format: LABCODE_YYYYMMDD_YYYYMMDD.csv (where the 1st date is the start of the period and the 2nd the end inclusive)

Example: 69120_20140301_20140331.csv

Criteria:

Serum Creatinine results should be included in this table where:

An AKI Alert has been recorded for the patient within the report period and the test result (based on processing date) occurred less than 15 months prior to the date the alert was generated.

Or



The test result (based on processing date) occurred within the report period and the patient has had an AKI alert generated 15 months or less prior to the processing date of the test.

Data Item	Data Format	Notes
NHS Number	String	
Local Patient Identifier	String	If NHS Number not available
Lab Code	String	ODS Code of Processing Lab
Specimen Number	String	
Source of Request	String	ODS code if available or text
Inpatient/Outpatient Care Indicator	IP/OP	
Field		
Collection Date	Datetime	lf known
Processing Date	Datetime	
Serum Creatinine Result (umol/l)	Decimal	
eGFR by MDRD Result	Decimal	The result associated with the alert
eGFR by CKD EPI Result	Decimal	If being used in place of eGFR by
		MDRD

Each result should only appear once in the file even if it meets multiple criteria for inclusion.

Email

The files should be compressed as a Zip file if possible and sent from an NHS.Net email account to <u>nbn-tr.AKIRegistry@nhs.net</u>

If you do not have an NHS.Net email account you will need to encrypt the files with PGP before sending them. Our public PGP key can be found at <u>https://www.renalreg.org/wp-</u> content/uploads/2014/10/Renal-Registry_public-key.asc

DTS

The files can be sent to our DTS mailbox - 8hq50pm.

SFTP

We intend to also offer the ability to upload using SFTP. Please check the UKRR <u>website</u> for further updates or email <u>George.Swinnerton@renalregistry.nhs.uk</u>

5. Information governance

The Health Research Authority has granted the UKRR exemption from section 251 of the NHS Act 2006 for the purpose of collecting data relating to acute kidney injury. This allows the UKRR to hold personal identifiable data without individual patient consent for the longitudinal follow up of cases and linkage to other healthcare databases.



6. Where to go for help

Think Kidneys programme have information and advice available at the website: https://www.thinkkidneys.nhs.uk/

You can also contact a member of the Think Kidneys team by using the mailbox: <u>thinkkidneys@renalregistry.nhs.uk</u>